

PLEASE COMPLETE QUESTIONNAIRE

To meet our requirements under anti-money laundering legislation, we are required to collect certain information. This form will assist you to provide the information we need to obtain under the legislation.

Name:

Politically Exposed Person Are you or is any immediate family member (including your spouse, partner, child, child's spouse or partner or parent) an individual who holds, or has held at any time in the preceding 12 months, a 'prominent public function' other than in New Zealand?	Y or N	Circle Yes or No (if yes explain below)
A 'prominent public function' means the head of a country, government minister, senior politician, senior judge, governor of a central bank, ambassador, high commissioner, high ranking member of the armed forces or senior position of state enterprise in any overseas country.		

Are you subject to sanctions?	Y or N	Circle Yes or No (if yes explain below)
'Sanctions' means any sanction imposed by the United Nations Security Council (UNSC) in regulations made under the United Nations Act 1946 which are implemented by New Zealand, or any sanction imposed by the New Zealand government such as travel bans or travel sanctions.		

Are you connected to a sanctioned country?	Y or N	Circle Yes or No (if yes explain below)
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A 'sanctioned country' is: any country or group or person subject to any sanction imposed by the United Nations Security Council (UNSC) in regulations made under the United Nations Act 1946 and (as at May 2019) those countries and groups are: Mali, Libya, Somalia, Democratic People's Republic of Korea (i.e. North Korea), Iran, South Sudan, Syria, Central African Republic, Yemen, Guinea-Bissau, Eritrea, Lebanon, Al Qaida and Taliban, Democratic Republic of the Congo, Sudan and Iraq

Are you connected to a medium/high risk country?	Y or N	Circle Yes or No (if yes explain below)
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A 'medium/high risk country' is determined by reference to the assessment of "High-risk and other monitored jurisdictions" by the Financial Action Task Force (FATF) and (as at May 2019) is any of: Bahamas, Botswana, Cambodia, Democratic People's Republic of Korea (DPRK i.e. North Korea), Ethiopia, Ghana, Iran, Pakistan, Serbia, Sri Lanka, Syria, Trinidad and Tobago, Tunisia and Yemen

Signature:	Date:
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